

Client Disclosure

Full business and trade names	Rexsolom (Pty) Ltd ta Rexsolom Invest																																														
Company Registration	2014/126923/07																																														
FSB License No	45521																																														
Place of Business	4 Hazendal Place, Hurlingham Manor Sandton, 2196																																														
Postal Address	PostNet Suite 992, Private Bag X9, Benmore, 2010																																														
Phone	011 326 0152																																														
Email	admin@rexsolom.co.za																																														
Website	www.rexsolom.co.za																																														
Key Individual	Anthony Rocchi																																														
	0711613019																																														
	anthony@rexsolom.co.za																																														
Management	Anthony Rocchi																																														
	0711613019																																														
	anthony@rexsolom.co.za																																														
<p>Rexsolom (Pty) Ltd certify that Anthony Rocchi and Cobie Legrange are registered as an representatives and have service contracts to represent Rexsolom (Pty) Ltd. Rexsolom (Pty) Ltd accepts responsibility for the activities that the abovementioned Representative performs within the scope of their contract of agreement. Rexsolom (Pty) Ltd is satisfied that the Representatives are competent to act when rendering a financial service on behalf of Rexsolom (Pty) Ltd, taking into consideration the personal character qualities of honesty and integrity, and competence and operational ability, as defined in the Fit and Proper requirements.</p>																																															
Compliance Officer	Warren Neale																																														
	0829236260																																														
	warren@askaricompliance.com																																														
External Compliance Officer	Askari Compliance Services cc																																														
	Registration No 2007/155193/23																																														
	FSB 4863																																														
	warren@askaricompliance.com																																														
<p>The licence authorizes the licensee to carry on business in respect of</p>																																															
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Conditions and Restrictions: The financial service provider must inform the registrar in writing, by facsimile or in an appropriate electronic format, within the fifteen days after the change has taken place, of any change in respect of business information of the financial services provider as provided in form FSP1, FSP3, FSP4, FSP9, FSP10, FSP10A, OR FSP11, respectively of the application from which was submitted by the provider for the business of obtaining a license, and in particular relating to the providers representatives, auditor, compliance officer or any foreign clearing firm or foreign Forex service provider involved (if any) and nominee company or independent custodian involved or the shareholders, directors to trustees of any such company or custodian (if any)

DISCLOSURE

The financial service provider must at all times during the currency of the providers license maintain the services of any key individual or key individuals mentioned in the information submitted on the said application formant must as regards changes in respect of such information relating to a key individual or the appointment of a new key individual of the provider in addition to acting also in such cases in accordance with the procedure and time limit set out in Condition 1.also ensure full compliance with section 8(4)(b) of the Act, the provisions of which must be regarded as concluded in this Condition.

The financial service provider must within one month of the date contemplated in section 7 of the Act, submit a copy of the register in terms of section 13(3) of the Act to the registrar, and must thereafter in accordance with the procedure and time limit set out in Condition 1, inform the registrar of any change effected the details as contained in that register.

The Financial service provider must not in any manner change the name of the financial services business under such a changed name, unless(a)the provider has fully complied with the provisions of any other law than the Act that regulates such change of business name(if any), (b) the provider has fully disclosed to the Registrar the details of such compliance with such other law,(c) the Registrar is satisfied that such change of name is otherwise lawful and has approved such change of name and (d) the Registrar has issued to the provider an appropriately amended license under the provisions of section 8(5)(b)(l) of the Act.

The financial service provider must at all times ensure that any financial product in respect of which the provider intends to render a financial service, qualifies as a financial product contemplated in the Act and is or will be lawfully issued by the relevant product supplier by virtue of an authority, approval or right granted to such supplier under a law as contemplated in the definition of "product supplier" in section 1(1) of the Act.

Professional Indemnity Insurance	Underwritten by Manwood Underwriting Managers (Pty) Ltd
Services under supervision	No representatives currently render financial services under supervision as defined in the determination of the Fit and Proper requirements of the FAIS Act.
Exemptions	No specific exemptions or the existence of any exemptions have been granted or made by the registrar with regard to any matter covered by the Act.
FAIS Ombud Details	FAIS Ombud: Brigalia Bam, Tel no.: 012 470-9080, Fax no.: 012 470-9098, Physical address: Eastwood Office Park, Ground Floor c/o Lizjohn and Lynnwood Road, Lynnwood Ridge, 0181, Postal address: PO Box 74571, Lynnwood Ridge, 0040
Shareholding & Beneficial Interests	Anthony Rocchi and Cobie Legrange currently hold more than 10% shareholding in Rexasolom (Pty) Ltd. In the preceding 12 months, the representatives have NOT received more than 30% in total remuneration from any product supplier.
Remuneration	Rexasolom (Pty) Ltd declares that their main income is derived from Investment Management Fees.